

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	Fil	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/535,790	09/535,790 03/29/2000		Deirdre O'Shea	99-032	7017
22927	7590	12/01/2005	EXAMINER		INER
WALKER D			ALVAREZ, RAQUEL		
STAMFORD.		905	ART UNIT	PAPER NUMBER	
•				3622	·

DATE MAILED: 12/01/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)				
		09/535,790	O'SHEA ET AL.				
	Office Action Summary	Examiner	Art Unit				
		Raquel Alvarez	3622				
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
2a)□	Responsive to communication(s) filed on <u>02 S</u> This action is FINAL . 2b) This Since this application is in condition for alloware closed in accordance with the practice under the practice under the practice under the second	s action is non-final. ince except for formal matters, pro					
Dispositi	on of Claims						
5)□ 6)⊠ 7)□ 8)⊠ Applicati 9)□ 10)□	Claim(s) 1-44 and 46-167 is/are pending in the 4a) Of the above claim(s) is/are withdray Claim(s) is/are allowed. Claim(s) 1-44,46-74,87,94-95,144-153 and 16 Claim(s) is/are objected to. Claim(s) 75-86,88-93,96-143 and 154-161 are con Papers The specification is objected to by the Examine The drawing(s) filed on is/are: a) accomplicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Example Control of the Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Example Control of the Oath or declaration is objected to by the Oath or declaration is objected to Data or declaration is	wn from consideration. 62-167 is/are rejected. e subject to restriction and/or electer. eer. cepted or b) objected to by the Endrawing(s) be held in abeyance. Section is required if the drawing(s) is objected.	Examiner. e 37 CFR 1.85(a). dected to. See 37 CFR 1.121(d).				
Priority u	nder 35 U.S.C. § 119						
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 							
2)	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal Pa					

Art Unit: 3622

DETAILED ACTION

1. This office action is in response to communication filed on 9/2/2005.

Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 166-167 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The claims do not recite what type pf technology is being used to carry out the claimed invention.

Correction is required.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1-2, 9-25, 30-31, 39-41, 43-44, 46-49, 56, 58-59, 61, 62, 70, 72-74, 87, 95, 144-153, 162-167 are rejected under 35 U.S.C. 102(b) as being anticipated by Von Kohorn (5,368,129 hereinafter Von Kohorn).

Application/Control Number: 09/535,790

Art Unit: 3622

With respect to claims 1, 2, 9-10, 12-25, 30-31, 39-41, 43, 44, 46-49, 56, 58, 59,61 62, 70, 72, 73, 74, 87, 94, 95, 144-153 Von Kohorn teaches a method for changing a benefit associated with a coupon (Abstract). Establishing an initial benefit for a coupon (col. 9, lines 11-33); establishing a benefit variation condition for said coupon, said benefit variation condition having at least one associated qualifying action (col. 9, lines 11-33); receiving, via an electronic communication network, notice of a completion of said at least one qualifying action (col. 9, line 34-42); and updating said coupon's benefit in accordance with said benefit variation condition (col. 9, lines 34-42); wherein said qualifying action is associated with a primary recipient of the coupon (col. 9, lines 11-33).

With respect to claims 11, 62-167, Von Kohorn teaches a method for changing a benefit associated with a coupon (Abstract). Establishing an initial benefit for a coupon (5-20 % discount)(col. 9, lines 28-33); establishing a benefit variation condition for said coupon, said benefit variation condition having at least one associated qualifying action (i.e. the benefit variation based on the sale of strawberries)(col. 9, lines 33-40); and via a processing device, updating said coupon's benefit in accordance with said benefit variation condition unless a notice is received that said at least one qualifying action has not been completed (i.e. automatically changing the percentage of strawberry discount of 5-20% unless a notice is received that the strawberries are not being selling satisfactorily, in that case further changing the discount to an additional 20-40 %)(col. 9, lines 28-42).

Application/Control Number: 09/535,790

Art Unit: 3622

Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 3-8, 25-29, 32-38, 50-55, 57, 60, 63-69, 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over Von Kohorn.

Claims 3-8, 32-35, 37, 50-54, 57, 60, 63-69, further recite updating benefit of said coupon in association with a first recipient of said coupon and said qualifying action is associated with a second recipient of said coupon, wherein said second recipient is a downstream recipient of said first recipient; providing an indication to said first recipient of a completion of said qualifying action by said second recipient. Official notice is taken that it is old and well known In chain referral sales, multi-level business pyramids or the like for a seller to induce a buyer to purchase merchandise by promising to give the buyer a discount or a rebate if the buyer furnishes the seller with the names of other prospective buyers. In certain cases, the rebate is paid only if the seller actually makes a sale to those other buyers. It would have been obvious to a person of ordinary skill in the art at the time of Applicant's invention to have included updating benefit of said coupon in association with a first recipient of said coupon and said qualifying action is associated with a second recipient of said coupon, wherein said second recipient is a downstream recipient of said first recipient; providing an indication to said first recipient of a completion of said qualifying action by said second recipient in

Art Unit: 3622

order to obtain the above mentioned advantage.

Claims 25-29, 36, 38, 55 further recite changing the coupon amount if said coupon is redeemed within a predetermined time and said amount is less than the first amount. Official notice is taken that it is old and well known in marketing to start with a higher discount and to decrease with time. For example, certain establishments have a lower introductory price on a product or service within a predetermined periods and then changing the price to the regular price in order to induce the customer to try the product or service within the trial period. It would have been obvious to a person of ordinary skill in the art at the time of Applicant's invention to have included changing the coupon amount if said coupon is redeemed within a predetermined time and said amount is less than the first amount in order to obtain the above mentioned advantage.

Claim 71 further recites crediting a financial account associated with the first recipient if said benefit of said coupon increases after said recipient has redeemed said coupon. Official notice is taken that it is old and well known to credit an account of a customer if a condition takes place after a transaction has been completed. For example, certain establishments will guarantee low prices to their customer by sending or crediting an account of a customer if a sale has taken place after the customer has bought a product. It would have been obvious to a person of ordinary skill in the art at the time of Applicant's invention to have included crediting a financial account associated with the first recipient if said benefit of said coupon increases after said recipient has redeemed said coupon in order to obtain the above mentioned advantage.

Application/Control Number: 09/535,790

Art Unit: 3622

Response to Arguments

8. After carefully reviewing the claims, the Examiner has found that examining all the claims wasn't a serious burden to the examiner.

Point of contact

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Raquel Alvarez whose telephone number is (571)272-6715. The examiner can normally be reached on 9:00-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eric w. Stamber can be reached on (571)272-6724. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Raquel Alvarez
Primary Examiner
Art Unit 3622

R.A. 11/28/2005